SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	OMB APPROVAL										
OMB Number:	3235-0287										

Estimated average burden	
hours per response:	0.5

	dress of Reporting	g Person [*]	2. Issuer Name and Ticker or Trading Symbol BOSTON BEER CO INC [SAM]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
KOCH C JAMES				X	Director	Х	10% Owner				
(Last)			3. Date of Earliest Transaction (Month/Day/Year) 08/31/2020	- x	Officer (give title below) Chairma		Other (specify below)				
C/O THE BOSTON BEER COMPANY			00/31/2020	1	Cilali	man					
ONE DESIGN CENTER PLACE, SUITE 850											
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)							
BOSTON	MA	02210		X	Form filed by One	Repo	rting Person				
			_		Form filed by More Person	e than	One Reporting				
(City)	(State)	(Zip)									
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed O	s Acquire f (D) (Ins	ed (A) or tr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		,
Class A Common	08/31/2020		S ⁽¹⁾		200	D	\$869.21 ⁽²⁾	185,221 ⁽³⁾	D	
Class A Common	08/31/2020		S ⁽¹⁾		700	D	\$870.55 ⁽⁴⁾	184,521 ⁽³⁾	D	
Class A Common	08/31/2020		S ⁽¹⁾		399	D	\$871.93 ⁽⁵⁾	184,122 ⁽³⁾	D	
Class A Common	08/31/2020		S ⁽¹⁾		101	D	\$872.71(6)	184,021 ⁽³⁾	D	
Class A Common	08/31/2020		S ⁽¹⁾		400	D	\$874.18(7)	183,621 ⁽³⁾	D	
Class A Common	08/31/2020		S ⁽¹⁾		308	D	\$875.36(8)	183,313 ⁽³⁾	D	
Class A Common	08/31/2020		S ⁽¹⁾		329	D	\$876.23 ⁽⁹⁾	182,984 ⁽³⁾	D	
Class A Common	08/31/2020		S ⁽¹⁾		403	D	\$877.23(10)	182,581 ⁽³⁾	D	
Class A Common	08/31/2020		S ⁽¹⁾		579	D	\$878.73(11)	182,002 ⁽³⁾	D	
Class A Common	08/31/2020		S ⁽¹⁾		894	D	\$879.66 ⁽¹²⁾	181,108(3)	D	
Class A Common	08/31/2020		S ⁽¹⁾		1,757	D	\$880.64(13)	179,351 ⁽³⁾	D	
Class A Common	08/31/2020		S ⁽¹⁾		1,154	D	\$881.63(14)	178,197 ⁽³⁾	D	
Class A Common	08/31/2020		S ⁽¹⁾		992	D	\$882.75(15)	177,205 ⁽³⁾	D	
Class A Common	08/31/2020		S ⁽¹⁾		884	D	\$883.54(16)	176,321 ⁽³⁾	D	
Class A Common	08/31/2020		S ⁽¹⁾		600	D	\$884.66(17)	175,721 ⁽³⁾	D	
Class A Common	08/31/2020		S ⁽¹⁾		300	D	\$886.08	175,421 ⁽³⁾	D	
Class A Common								71,592	I	By Foundatic managed by Reporting Person
Class A Common								44,248	I	By LLC managed by spouse
Class A Common								23,486	I	Custodian for children under UGTMA
Class A Common								5,000	I	By Trust Trustee

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		ransaction Disposed Of (D) (Instr. 3, 4 and 5) Code (Instr.		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				
Class A Common								3,656	I	By spouse as custodian for children under UGTMA		
Class A Common								2,532	I	By spouse in trust for children		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Nu of Deriv Secu Acqu (A) of Dispo of (D) (Instr and 5	rative rities ired r osed) . 3, 4	Expiration Date (Month/Day/Year)		n Date Amount of			9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Explanation of Responses:

1. The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on July 29, 2020.

2. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 200 shares is from \$869.06 to \$869.33. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

3. The shares reported include 343 shares of restricted stock subject to vesting conditions.

4. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 700 shares is from \$870.37 to \$871.00. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

5. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 399 shares is from \$871.48 to \$872.12. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

6. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 101 shares is from \$872.50 to \$873.07. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

7. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 400 shares is from \$873.69 to \$874.65. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

8. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 308 shares is from \$874.81 to \$875.78. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

9. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 329 shares is from \$875.82 to \$876.44. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

10. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 403 shares is from \$877.13 to \$878.02. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

11. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 579 shares is from \$878.17 to \$879.14. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

12. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 894 shares is from \$879.18 to \$880.16. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

13. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 1,757 shares is from \$880.18 to \$881.13. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

14. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 1,154 shares is from \$881.25 to \$882.15. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

15. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 992 shares is from \$882.30 to \$883.26. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

16. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 884 shares is from \$883.35 to \$883.90. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

17. The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 600 shares is from \$884.48 to \$885.13. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

Remarks:

Michael G. Andrews under

POA for the benefit of Koch

09/01/2020

James

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.